

CONCRETE CONTRACTORS Supplemental Application



Account Name		Producer Name	
Account Contact Name		Producer e-mail address	
Account web site address	Account e-mail address	Date Completed	

Definitions of *italicized terms* are provided at the end of the supplement.

ELIGIBILITY:

1. Please attach a list of the risk's jobs (job list) for the last two years as well as a list of the jobs committed to for the next 12 months.

2. Enter the percentage of the risk's own payroll and/or sales that emanate from the following operations:

Exclude work that the risk subcontracts when determining eligibility percentages Payroll or Sales

Percentages based on: (check one)

- Guniting work excluding *EIFS* _____%
- Concrete maintenance and repair _____%
- Concrete Paving (including ground supported concrete floors, driveways, sidewalks, curbs, gutters, patios) _____%
- Concrete *tilt-up construction* _____%
- Concrete construction, NOC _____%

TOTAL _____%

If total is less than **60%**, risk is **ineligible** for ASCC.

If **any operations emanate from guniting and stucco work including EIFS**, risk is **ineligible** for the ASCC program.

3. Does the insured communicate with the One-Call Service Center and the area utility owners that are not members of the One-Call Service Center prior to all scheduled excavation work? Yes No

If **No**, the account is **ineligible** for the ASCC program.

4. Does the risk have a structural engineer on staff? Yes No

If **Yes**, does the risk carry professional liability insurance? Yes No

If **No**, does the risk require that the structural engineer carry his/her own professional liability insurance? Yes No

5.. List the types of work subcontracted.

Does the risk obtain certificates of insurance from all subcontractors? Yes No

Is the risk named as an additional insured on all subcontractors' policies? Yes No

Does the risk require all subcontractors to carry primary limits equal to or greater than their own? Yes No

Does the risk use written subcontractor agreements containing hold harmless/indemnity agreements in favor of the risk? Yes No

6. Has the risk been cited for any OSHA violations in the last three years? **If yes**, please explain further. Yes No

7. Enter the percentage of the risk's own payroll and/or sales that emanate from new residential or commercial work from the following operations:

Site preparation including rough and finish grading? _____%	Soil compaction? _____%
Building site pad preparation? _____%	Soil stabilization? _____%
Foundation form construction? _____%	Foundation design? _____%
Concrete pouring for foundations? _____%	Foundation pier hole drilling? _____%

8. Risk is operating as:

Construction Manager _____% General Contractor _____% Subcontractor _____%

9. The **average** percentage of the risk's TOTAL were based on payroll or sales **during the past five years** for the following: (check one) Payroll or Sales

COMMERCIAL WORK	%
INDUSTRIAL WORK	%
HABITATIONAL WORK	
Please complete if the risk does any habitational work.	
HABITATIONAL WORK BREAKDOWN	% NEW or MAJOR REHAB/ RENOVATION + % SERVICE OR MAINTENANCE =
<input type="checkbox"/> CONDOMINIUMS (High And Low Rise)	% + % = _____%
<input type="checkbox"/> MULTI-FAMILY OWNED DEVELOPMENTS (including townhouses)	% + % = _____%
<input type="checkbox"/> TRACT HOUSING	% + % = _____%
<input type="checkbox"/> TRIPLEXES AND DUPLEXES	% + % = _____%
<input type="checkbox"/> APARTMENTS	% + % = _____%
<input type="checkbox"/> Other	% + % = _____%
OTHER WORK: (PLEASE DESCRIBE)	_____%
TOTAL (SHOULD EQUAL 100%)	_____%

10. Does the risk have any future plans related to work involving apartments, condos, townhouses, tract homes, custom homes or homes of unusual design? Yes No

If **Yes**, please describe.

11. List the states the insured worked in during the last 5 years?

12. Has the risk ever installed or have any future plans involving the installation of EIFS? Yes No

13. Has the risk ever been named in claims and/or litigation regarding faulty or defective construction or workmanship, including claims due to *subsidence* issues or use of EIFS? Yes No

If **Yes**, was risk acting as a general or sub-contractor? Yes No

Was it a residential or commercial project? Yes No

Provide detail on claims/litigation and how the issue was corrected.

14. Does risk have knowledge of any pre-existing act, omission, event, condition or damages to any person or property that may potentially give rise to any future claim or legal action? Yes No

If **Yes**, please describe.

If the answers to questions 7, 8, or 9 are **yes**, please discuss the risk with your underwriter.

15. Any current or past involvement with *wrap-up/OCIP*? Yes No

Any residential *wrap-ups*? Yes No

16. Does the risk have a quality control program? Yes No

If **Yes**, is it (check one) Informal or Documented

17. Does the risk retain job files? Yes No

If **Yes**, how long are they retained?

18. Enter the percentage of receipts in work sub-contracted: _____%

If greater than **25%**, the risk is **ineligible** for the **ASCC** program.

Exception: Up to 50% sub-contracted work is acceptable in conjunction with tilt-up operations.

19. Indicate the types of subcontractor agreements the risk typically signs.

Standard (AGC, AIA contracts) Custom Other

20. List the types of work subcontracted

- Does the risk obtain Certificates of Insurance from all subcontractors? Yes No
- Is there a Diary System in place to track expiration dates of certificates of insurance? Yes No
- Is the risk named as an additional insured on all subcontractors' policies? Yes No
- Does the risk require all subcontractors to carry primary limits equal to or greater than their own? Yes No

- Does the risk use written subcontractor agreements containing hold harmless/indemnity agreements in favor of the risk? Yes No
 - If subs are hired does legal counsel or the insurance agent review all contracts? Yes No
21. Does the insured/risk perform construction operations involving any one of the following:
- | | | |
|--|--|--------|
| Airport runway, warming apron construction or repair | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Asphalt and blacktop work (See LCP or SRBC) | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Bridge or elevated highway (See SRBC) | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Caisson or dam | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Concrete pumping operations | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Industrial and chemical waste collection or sedimentation pond | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Plastering or stucco operations | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Pre stressed* structural concrete | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Redi-mix operations, concrete or cement hauling for others | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Sand and gravel hauling for others | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Street or road construction (See SRBC). | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Subway or tunnel construction | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Swimming pool construction in excess of 25% (See SPLASH) | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
| Tilt-slab or tilt-up work in excess of 50 feet in height | <input type="checkbox"/> Yes <input type="checkbox"/> No | _____% |
- If **Yes** to any operations, the risk is **ineligible** for the ASCC program.
22. Does the insured have a New Hire Orientation Program with pre-physicals, drug screening, etc.? Yes No
23. Are safety meetings held on a quarterly basis; do managers and employees attend, and are attendance records kept?
If less than quarterly, how often? Yes No
24. Is the insured a member of ASCC? Yes No
- Answering this question is optional. Membership in ASCC is not a requirement for insurability.

DEFINITIONS

Concrete Maintenance & Repair: Involves numerous processes, including, but not limited to, cleaning the area, removing the loose concrete by sand blasting or a pressurized water wash, and pumping under pressure liquid adhesives, epoxy, polyester and acrylics into the opened areas to fill and seal the cracks.

Construction Manager: Construction management takes two forms - "pure agency" construction management and "at risk" construction management. "A pure agency construction manager" is merely an agent of the owner, neither designing nor constructing the project. Instead, the manager administers the construction contract throughout the planning, design and construction phases of the project. An "at risk construction manager" provides construction advice and construction leadership on a project during the planning and design stages and also provides construction leadership, contract management, direction, supervision, coordination and control of the work during the construction phase.

EIFS: Exterior Insulation Finishing Systems - multi-layered exterior wall systems (which resemble stucco in appearance) that are used on both commercial buildings and residential homes.

General Contractor: A contractor who subcontracts work to others in excess of 50% of their total receipts, exercises primary control of the job-site, and is named in the construction documents as the general contractor of record.

Pre-stressed concrete: Concrete constructed to withstand a specified stress or load bearing criteria.

Residential work: New or major rehabilitation of condominiums, triplexes, duplexes or town homes.

Subsidence: Any movement of land or earth including: landslides; mudflow; earth sinking, rising, and shifting; collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting; and earthquake.

Tilt-up construction: Method of construction where concrete wall sections are cast horizontally on the ground and tilted or lifted into position.

OTHER CIS PROGRAMS WITH SIMILAR EXPOSURES

If you are unsure of whether the risk qualifies for the CCP Program, please read the following descriptions:

Street, Road & Bridge Contractors Program (SRBC): Contractors engaged primarily in street or road construction and or reconstruction, site preparation, street or road paving and or repaving, and construction of girder bridges or elevated highway structures. Operators of asphalt plants are eligible for this program.

Land Improvement Contractors Program (LICP): Program for contractors who are primarily engaged in excavations, grading of land, irrigation or drainage system construction, sewer or water main connection construction, paving or surfacing, septic tank system installation.

Will you commit to participation in the Claim Documentation Program, which will be included in your costs?

_____*

(*Required to participate)

Information provided by the named insured to the Claim Documentation Program will be kept in strict confidence and can only be released to the insurance carrier's claims adjuster related to a specific claim reported by the above noted named insured. This information will not be released without the express written consent of the named insured or the insured's agent, unless ordered to do so by court processes. In the event any records are subpoenaed the insured will be notified immediately.

Producer's Signature

Date

Applicant's Signature

Date